A lexical approach for identifying behavioral action sequences

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Comparative analyses in ethology across stimulus environments or 1 genetic variants often require identifying subtle variations in behav-2 ioral action sequences ('motifs'). A challenging inferential problem 3 lies in finding such motifs, which represent recurring sequences of shorter, stereotyped elementary maneuvers and are manifested as 5 few copies of noisy patterns interspersed with other unknown se-6 quences and erratic movements. Here, we propose a lexical model 7 of animal behavior, where we view behavior as being composed of 8 noisy instantiations of motif templates from an unknown dictionary. 9 We develop a novel, statistical physics-inspired, unsupervised algo-10 rithm "BASS" to identify and segment motifs from high-throughput 11 behavioral data. When applied to zebrafish larvae, our lexical model 12 better explains than a Markov model the basic exploratory behavior 13 and reveals a dictionary of unusually long motifs consisting of re-14 peats and mixtures of slow forward and turn bouts. We further in-15 vestigated a novel aversive chemotaxis assav where fish chemotax 16 yet display no major differences in kinematic parameters. BASS re-17 vealed that fish avoid aversive cues by implementing a conserved 18 transient chemotactic response consisting of sequences of fast 19 large-angle turns and burst swims. Our approach allows us to char-20 acterize the functional significance of specific action sequences for 21 solving a behavioral task. BASS can be easily incorporated into ex-22 isting behavioral analysis pipelines and also be used as a generic 23 24 algorithm for motif discovery in any sequential data that has a lowdimensional embedding. 25

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major challenge in ethology is to infer the behavioral algorithms used by animals to perform tasks necessary 2 for their survival. Inference of behavioral responses in nat-3 ural environments is non-trivial when the input stimulus is 4 unknown and uncontrolled, leading to an issue of unspecified 5 context, and is further encumbered by stochasticity at various 6 levels in the animal's execution of the response. A statistical 8 analysis of coarse-grained observables from tracked behavioral data may exhibit statistically significant changes induced by 9 the stimulus, but inferring behavioral responses often requires 10 a precisely-controlled setting. One increasingly common com-11 putational approach is to leverage recent developments in the 12 automated tracking of postural dynamics (1-5). These meth-13 ods exploit clusters in low-dimensional embeddings of postural 14 15 dynamics to describe behavior as a sequence of elementary maneuvers or behavioral 'syllables' drawn from a probabilistic 16 model. The resulting descriptions parallel language models, 17 containing information about local dynamics in the form of a 18 probabilistic syntax over individual syllables (6-15). 19

While such descriptions provide useful insight into an animal's behavioral repertoire, the differences in behavior across different environments or genetic variants are often quite subtle, making comparative analyses difficult and uninterpretable. The key difficulty lies in that the majority of behavioral re-24 sponses are transient and occur only a few times in the dataset. 25 By focusing on capturing short time-scale dynamics, dynamical 26 models miss low-copy-number, behaviorally relevant patterns. 27 Such long-correlated stretches are lost in the noise and are 28 difficult to pick out from a large dataset. To give a simple 29 example, consider a scenario where one is presented with a 30 control 'behavioral' dataset consisting of a sequence of 100,000 31 fair coin tosses, and a treatment dataset which is otherwise 32 statistically identical except for 25 sequences of 20 consecutive 33 tails placed at random locations within the sequence. By eye, 34 the sequences in the treatment dataset clearly stand out as 35 abnormal, relevant stretches. On the other hand, a Markov 36 model on heads and tails, say, when fit to the treatment data 37 may indeed show a statistically significant deviation in its 38 transition matrix from the control, but does not point to the 39 nature of the abnormal stretches or where to find them. 40

We adopt an alternative, overlapping viewpoint, where 41 we view behavior as being composed of recurring action se-42 quences, which we call motifs, resulting in a lexical description 43 of behavior as a chain of 'words' independently drawn from a 44 dictionary with no attention paid to dynamics, i.e., there is 45 no syntax. Note that motifs and syllables are sometimes used 46 synonymously. To fix terminology, we define motifs as recur-47 ring action sequences of shorter, well-defined syllables. Motifs 48 arguably contain more meaning in the context of a behavioral 49 algorithm, much like words in English (16). While inferring 50 the syntax of a hierarchical model is not impossible using 51 syllable-based models such as those used in machine transla-52

Significance Statement

Animals in the wild perform characteristic motor sequences during a task, for example, the surge-and-cast of a male moth while it searches for a female or that of a soaring bird spiraling up a thermal. How can we find such conserved yet transient action sequences from noisy behavioral data? To address this question, we develop an unsupervised algorithm to extract an animal's action sequence repertoire in a manner analogous to how young children learn language from speech. Applying this approach on larval zebrafish, we uncover a sequence of fast large-angle turns and burst swims that fish use to escape from an aversive environment. The algorithm is broadly applicable.

GR performed theoretical research. GR and CW conceived the project and wrote the manuscript with inputs from all authors. LD, JR, HT, GR collected data. GR & HT analyzed the behavioral data OM optimized the tracking algorithm (Zebrazoom).

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tion (17), such models require orders of magnitude more data 53 than existing experimental paradigms can offer. Importantly, 54 inferring a hierarchical structure over a set of motifs is much 55 more data-efficient, particularly for smaller dictionaries. On 56 57 the other hand, a dictionary of motifs is not usually available; 58 it is unclear what fraction of an animal's behavioral repertoire is composed of motifs and how this fraction changes across 59 freely-behaving animals and those solving a specific task. To 60 begin, motifs have to be first segmented out from data and 61 fluctuations quantified before they can be used to construct 62 higher-order word-based models. 63

Here, we develop a novel lexical model of behavior and an 64 unsupervised method (Behavioral Action Sequence Segmenta-65 tion or BASS) to discover and construct dictionaries of motifs 66 from behavioral data. We assume, as is common for state-67 space models, that short time-scale postural dynamics can 68 be mapped onto a set of elementary maneuvers that appear 69 as clusters in a lower dimensional space, which has indeed 70 been shown in a variety of systems including rodents, flies, 71 worms and zebrafish larvae (8, 13, 18-20). These elementary 72 maneuvers then form an 'alphabet'. The clustered behavioral 73 time series yields a soft symbolic representation as probability 74 vectors over the alphabet, which is then amenable to statistical 75 segmentation methods that further break it up into identified 76 motifs (of arbitrary length), while taking various sources of 77 78 noise into account.

If elementary maneuvers are represented by symbols, one 79 straightforward approach to motif discovery is to enumerate 80 over-represented sequences of n symbols (n-grams). However, 81 the memory and computation time required for this approach 82 increases exponentially with n. Often-used compression meth-83 ods (21–23) optimize an altogether different "coding" objective, 84 which do not necessarily lead to meaningful motifs; for exam-85 ple, the two-symbol word *ab* could be identified as a motif 86 simply because a and b occur often, even if a and b occur 87 next to each other purely by chance. An alternative approach, 88 similar to ours, is to maintain a set of possible sequences (in 89 the form of a dictionary (24) or a suffix tree (25-27) and add 90 a new motif m_1m_2 to this set by concatenating two existing 91 motifs m_1 and m_2 only if they are juxtaposed more often than 92 chance. The resulting model can be viewed as an infinite-order 93 Markov model, where only the paths through state space that 94 show non-trivial temporal dependencies are stored. 95

However, the complexity of behavioral data prevents the 96 97 direct application of the latter class of methods developed for 98 bioinformatics and text processing. In these applications, one is presented a well-defined sequence of letters (AGTC or the 99 English alphabet) and with little variability in instantiations 100 of a particular word (words are rarely misspelled). We identify 101 three sources of variability that impair typical methods of 102 motif discovery in behavioral data: (1) Action pattern noise, 103 which is the variability in instantiations of a particular motif 104 105 template, (2) Syllable noise, i.e., the variations in observed output, which may lead to a syllable appearing as a similar 106 one, and (3) Background variability due to rare behaviors and 107 erratic movements. To make an analogy with speech learning 108 (28), our task is similar to learning new words from spoken 109 language (with no distinctive pauses separating the words) 110 and given prior knowledge of phonology. Action pattern noise, 111 in this analogy, corresponds (not exclusively) to stutters in 112 speech, syllable noise to substitutions of similar phonemes (for 113



Fig. 1. The generative model from motifs to behavioral output. (a) Motif templates are fixed sequences of behavioral syllables (labeled a,b and c in this example). The observed behavioral output is generated from motif templates drawn sequentially from a dictionary. An instantiation of a template may "mutate" by insertions (red) or deletions (blue), which then generates the observed output as shown in panel (b). (b) The generative process from a motif template $c_1 c_2 \dots c_l$ to instantiation $\tilde{c}_1 \tilde{c}_2 \dots \tilde{c}_{\tilde{l}}$ to observed output $y_1 y_2 \dots y_{\tilde{l}}$. (c) The unsupervised inference procedure (BASS) first learns a dictionary of motifs and then segments (vertical bars) the observed behavioral output y_1, y_2, \dots into the most likely sequence of motifs m_1, m_2, \dots from the dictionary that generated it.

example, the aspirated $/p^h/$ and the unaspirated /p/), and 114 background noise to the utterance of unique proper nouns or 115 unusual sounds. To overcome these challenges, we generalize 116 the modeling framework in ref. (24) by introducing an addi-117 tional two-level hierarchical model, the lower level mapping 118 observed behavioral data to a latent state space and the second 119 level introducing a model for noisy instantiations of motifs 120 (Figure 1a). Despite the model's complexity, we show that 121 inference is tractable and motifs efficiently extracted. 122

Zebrafish is an interesting vertebrate model organism to in-123 vestigate the emergence of behavioral action sequences. Start-124 ing from five days post fertilization, in order to survive, ze-125 brafish larvae actively explore their environment for food using 126 stereotypical maneuvers consisting of bouts of activity last-127 ing few hundreds of milliseconds separated by distinct pauses 128 (13, 14, 20, 29). The small size enables the recording of numer-129 ous larvae in parallel, leading to the collection of thousands 130 of swim bouts in a few minutes. Using our lexical approach, 131 we first investigate the behavioral action sequences, i.e., the 132 stereotyped sequences of bout types that zebrafish larvae use 133 to spontaneously explore their environment. Next, we take 134 advantage of a novel chemotaxis assay in which larvae navigate 135 in arenas with gradients of acidic pH and effectively avoid 136 acidic regions. The behavioral response that results in aversive 137 chemotaxis is unknown. Moreover, the classical examination 138 of global kinematic parameters reveals only minor differences, 139 which makes identifying the chemotactic response challeng-140 ing and thus makes for an appropriate benchmark for our 141 approach. 142

We first develop the lexical model and the motif identification algorithm, BASS. We apply the algorithm to synthetic data and to datasets obtained from freely exploring and chemotactic zebrafish larvae. A comparison of the dictionaries in



Fig. 2. BASS accurately identifies and segments motifs in noisy, synthetic data: (a) The seven clusters from which the two-dimensional data (along y_1, y_2) is drawn. (b) The true probabilities of the motifs (red dots) and probabilities estimated (blue dots) by our algorithm showing successful reconstruction of the dictionary. The crosses are low-probability motifs not identified by the algorithm (see main text). (c) A snippet of the raw data sequence and the most likely partitioning into motifs found by the algorithm. The vertical bars delineate two successive motifs. The black arrows mark two instantiations of the same length-five motif. (d) The difference in the negative log-likelihood per symbol after convergence when the true dictionary is unknown (F) and known (F_{\min}). Action pattern noise ϵ_p and syllable noise μ are successfully integrated out with larger datasets. Top: $\mu = 3$, $p_d = 0.5$, Bottom: $\epsilon_p = 0.15$, $p_d = 0.5$. Errors bars are s.e.m.

the two environments is then made to identify the sequencesthat larvae use to chemotax.

likelihood function Q(.|m) defined below, which is a central element of the model.

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149 Results

A lexical model of animal behavior. Much like language, we 150 assume the behavior of an animal in a particular environment 151 can be described by a sequence of motifs drawn from a dic-152 tionary \mathcal{D} , where each motif is a string of arbitrary length 153 containing characters from an alphabet. Motifs are to be 154 considered as *templates* for the generation of action sequences. 155 Each of the K characters (which represent behavioral syllables) 156 in the alphabet corresponds to the unique label of one of the 157 K soft clusters that define the elementary maneuvers, usually 158 defined in a lower dimensional embedding of postural space. 159 The character likelihood function $q(\mathbf{y}|c)$ specifies the proba-160 bility of observing a maneuver \boldsymbol{u} corresponding to the label c. 161 The implicit assumption here is the existence of well-defined 162 elementary maneuvers; we may relax this assumption and 163 instead consider clustering as a tiling of postural space, which 164 would manifest as additional noise and a larger alphabet. We 165 do not address the details of finding an appropriate clustering 166 scheme, which is often non-trivial; we refer to reviews on the 167 topic (3-5). 168

Behavior is generated from motif templates, which are 169 sequentially sampled independently and identically from a dis-170 tribution $\{p_m\}$ over the motifs in the dictionary and individual 171 172 characters (Figure 1a). The independence of successive motifs arises from the lack of syntax in our model. The inclusion 173 of individual characters accounts for movements that are not 174 part of any motif, for instance, rare behaviors and erratic 175 movements. These movements constitute background noise 176 that impair motif identification since a motif $m = c_1 c_2 \dots c_l$ is 177 detectable only if its likelihood is comparable to its constituent 178 characters, $p_m \gtrsim \prod_i p_{c_i}$. Given a sequence of motifs, the data 179 is generated from each template m according to the motif 180

The probability, $Q(Y_{\alpha}|m_{\alpha})$, of an observed output pat-183 tern $Y_{\alpha} = y_1 y_2 \dots y_{\tilde{l}}$ given a motif template $m_{\alpha} = c_1 c_2 \dots c_l$ 184 (Figure 1b) defines the behavioral output generated by m_{α} . 185 A motif template can be viewed as the averaged trajectory 186 of a stochastic dynamical system traversing through a state 187 space. We then introduce a model for 'pattern noise', which 188 corresponds to one where in a particular realization, the tra-189 jectory spends a longer or shorter duration at certain regions 190 of state space, but does not deviate into distant regions of 191 state space. In particular, in each instantiation, m_{α} 'mutates' 192 to $\tilde{\boldsymbol{m}} = \tilde{c}_1 \tilde{c}_2 \dots \tilde{c}_{\tilde{l}}$ with probability $P(\tilde{\boldsymbol{m}} | \boldsymbol{m}_{\alpha})$. The output 193 \boldsymbol{y}_i is drawn independently for each character in the mutated 194 sequence from $q(\mathbf{y}_i|\tilde{c}_i)$. To quantify pattern noise, we fix the 195 probability of error per character that results either in the 196 deletion or duplication of that symbol. Note that syllable noise 197 is implicitly incorporated in the character likelihood, $q(\boldsymbol{y}|c)$, 198 and is determined by the discriminability of neighboring states. 199 We derive a recursive equation for the efficient calculation of 200 $Q(\mathbf{Y}_{\alpha}|\mathbf{m}_{\alpha})$ (see SI Appendix). 201

Performing inference on this model requires constructing 202 the dictionary \mathcal{D} as well as estimating the motif probabilities 203 $\{p_m\}$. To build our dictionary, we use an iterative procedure 204 generalized from ref. (24) to our latent space model, where 205 we start from a dictionary with only single characters and 206 progressively add words based on how often smaller sub-words 207 occur next to each other. In particular, we cycle between: 208 (1) estimating $\{p_m\}$ using maximum likelihood estimation 209 (MLE), (2) expanding \mathcal{D} if certain pairs of motifs occur next 210 to each other more often than you would expect from $\{p_m\}$, 211 (3) truncate shorter motifs from \mathcal{D} that are "explained away" 212 by the addition of the longer motifs into the dictionary. We 213 briefly expand on these three steps; see SI Appendix for further 214 details. 215



Fig. 3. Analysis of larval zebrafish behavior in exploratory and aversive environments. (a) Overview of the analysis pipeline. *θ* is the tail angle. (b) A time series of the tail angle showing the discrete nature of bouts. The corresponding speed, change in heading and the tail length (summed absolute amplitude of the tail angle) for each of the bouts are shown. (c) Samples of the seven bout types identified using a Gaussian Mixture Model. The green and red dots correspond to the head position at the beginning and end of the bout. Below each sample, the average tail angle is also shown in solid color with 200 trajectories shown in grey.

Given a behavioral dataset $Y = y_1y_2...y_L$, the sequence of motif templates that generate it are unknown. For example, if L = 3, we have $Y = y_1y_2y_3$, whose likelihood is obtained by summing over all possible ways the dataset can be partitioned: $Q(y_1)Q(y_2)Q(y_3) + Q(y_1)Q(y_2y_3) + Q(y_1y_2)Q(y_3) + Q(y_1y_2y_3)$, where each marginal probability factor in each term is from an instantiation of a particular motif template. In general, the likelihood of Y under our generative model is the sum over all possible partitionings $\{\pi\}$ of the dataset (of which there are 2^{L-1}) into observed data sequences $\{Y_{\alpha}^{\pi}\}$, weighted by the likelihood of each partitioning:

$$P(\boldsymbol{Y}; \{p_{\boldsymbol{m}}\}) = \sum_{\pi} \prod_{\alpha=1}^{N(\pi)} Q\left(\boldsymbol{Y}_{\alpha}^{\pi}\right), \qquad [1]$$

where the marginal probability is $Q(\mathbf{Y}_{\alpha}^{\pi}) = \sum_{m} Q(\mathbf{Y}_{\alpha}^{\pi}|m)p_{m}$ and $N(\pi)$ is the total number of templates in partition π . We show (SI Appendix) that the MLE for p_{m} satisfies the implicit equation

$$p_{\boldsymbol{m}}^* \propto \sum_{\pi} \sum_{\alpha'=1}^{N(\pi)} p\left(\boldsymbol{m} | \boldsymbol{Y}_{\alpha'}^{\pi}\right) \prod_{\alpha=1}^{N(\pi)} Q\left(\boldsymbol{Y}_{\alpha}^{\pi}\right), \qquad [2]$$

where $p(\mathbf{m}|\mathbf{Y}_{\alpha}^{\pi})$ is the posterior probability of \mathbf{m} given the data and the pre-factor is determined from normalization. The sum over the posterior probabilities can be interpreted as an effective number of counts of \mathbf{m} in the partition π ; Eq. (2) can then be re-cast as $p_{\mathbf{m}}^* = \langle N_{\mathbf{m}} \rangle / \bar{N}$, where $\langle N_{\mathbf{m}} \rangle$ is the expected number of counts of \mathbf{m} over the ensemble of partitions and $\bar{N} = \sum_{\mathbf{m}'} \langle N_{\mathbf{m}'} \rangle$ is the average number of partitions.

Given the large sum in Eq. (2) and the hierarchical struc-223 224 ture of the model, it is rather surprising that the MLE can be performed efficiently. To compute p_m^* , it is useful to define the 225 free energy, $F \equiv -\ln P(\mathbf{Y}; \{p_m\})$, which is to be minimized. 226 The gradients of F can be efficiently calculated using dynamic 227 programming methods (SI Appendix), which allows for compu-228 tation of p_m^* using standard gradient descent methods. Note 229 that the number of counts is then $\langle N_m \rangle = -p_m \partial_m F$. New 230 motifs are added to the dictionary if they occur more often 23 than expected by random concatenations of motifs already in 232

the dictionary. The probability of a new motif \boldsymbol{m} being generated through all possible concatenations of smaller motifs in the dictionary, $\zeta(\boldsymbol{m})$, is compared to the empirical probability of \boldsymbol{m} , $-\zeta(\boldsymbol{m})\partial_{\boldsymbol{m}}F/\bar{N}$. A standard likelihood ratio test yields a *p*-value and pairs below a *p* threshold (10⁻³) are added to the dictionary. Motifs which have low counts or which are similar to other motifs are discarded.

An implementation of BASS is publicly available (30).

An illustration on synthetic data. To illustrate the generative 241 process and the effectiveness of the method in identifying 242 and segmenting motifs, we first apply it to a synthetically 243 generated dataset. We assume individual data points are two-244 dimensional (representing a lower-dimensional embedding of 245 postural dynamics) and are drawn from 7 distinct states (which 246 make up the characters in our alphabet) with a Gaussian 247 emission function as shown in Figure 2a. A dictionary of 50 248 motifs is constructed such that each motif has a mean length 249 of five. Given the generated dictionary, the probability of each 250 motif, p_m , is drawn and scaled with a parameter $1 - \epsilon_b$, where 251 ϵ_b is the fraction of the dataset that is made up of individual 252 characters. We use ϵ_b as a measure of 'background noise'. 253 Sequential data is sampled according to the lexical model, 254 with ϵ_p as a measure of action pattern noise and syllable noise 255 μ , defined as the distance between neighboring clusters (Figure 256 2a). In the sample shown, we use $L = 40000, \epsilon_p = 0, \epsilon_b =$ 257 $0.5, \mu = 3.$ 258

On this dataset, the algorithm builds a dictionary contain-259 ing 44 motifs with 11 false negatives and 6 false positives. Of 260 the 11 false negatives (crosses in Figure 2b), 8 occur fewer 261 than 25 times in the entire dataset. The three other false 262 negatives (632, 631, 421325, see Figure 2a for cluster labels) 263 were in fact closely related to the three highest probability 264 false positives (32,31,421335). The estimated probabilities 265 of the true positive motifs match very well with their true 266 probabilities (Figure 2b) despite significant background and 267 syllable noise. A snippet of the raw data is shown in Figure 268 2c along with the most likely partitioning into motifs from the 269 learned dictionary (SI Appendix). With larger datasets, the 270 method robustly integrates out fluctuations due to significant 271

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action pattern and syllable noise ϵ_p and μ (Figure 2d). BASS found no motifs in shuffled data, as expected.

We now apply BASS to larval zebrafish behavior in exploratory (pH neutral) and aversive (acidic) chemotaxis assays. An outline of our analysis pipeline is shown in Figure 3a.

A dictionary for freely exploring zebrafish larvae. Zebrafish 277 larvae swim in short punctuated bouts (duration mean \pm s.d 278 $= 150 \pm 50$ ms) separated by longer periods of rest (mean 279 \pm s.d = 700 \pm 500 ms) (Figure 3b). Larvae explore their 280 environment by performing mainly slow bouts occurring as 281 forward swims and routine turns, often by repeating turns in 282 the same direction (31), and rarely exhibit fast bouts such as 283 burst swims or escapes (13, 20). We collected a dataset of 284 ≈ 85000 bouts from exploring fish (≈ 180 fish) swimming in 285 an elongated well geometry. 286

A single bout is well-characterized by the fish's tail move-287 ment and other kinematic variables such as average speed and 288 change in heading. From raw tracking data (SI Appendix), 289 we use a six-dimensional parameterization y for each bout, 290 which includes the speed, the change in heading, the tail length 291 (summed absolute amplitude of the tail angle) and the first 292 three principal components of the tail angle over time (SI 293 294 Appendix). Based on this parameterization, bouts were categorized into different bout types using a Gaussian Mixture 295 Model (GMM). A GMM yields the likelihood function, $q(\boldsymbol{y}|c)$, 296 for each category c, which serves as a statistical description 297 of each category in terms of the means and covariances of the 298 six variables. We clustered bouts into seven categories (Figure 299 3c, SI Movie S1,S2), which correspond to two forward swims 300 of different speeds (f, slow and F, fast), three turns based on 301 the magnitude of change in heading (t, T and L, increasing)302 angle), bursts (b) and an other (O) category. The O category 303 contained a variety of different bouts that did not clearly fall 304 into one class; these included O-bends, long turns and bursts, 305 and improperly tracked bouts. The categories are not sharply 306 delineated; this is not an issue for the BASS algorithm since 307 variability in y is implicitly taken into account via q(y|c) as 308 noted before. 309

Typical bout types are displayed in Figure 3c. Compared to previous categorizations performed on spontaneous exploration (13, 14, 29), our categories (except *O*) likely correspond to sub-divisions of forward swims, routine turns and burst swims. The seven categories make up the alphabet of our generative

model. Sequences of consecutive bouts for each fish (~ 600 315 316 bouts per fish) served as input to BASS. A coarse exploration of the pattern noise parameter ϵ_p and the probability of insertion 317 p_d using a held-out dataset yielded $\epsilon_p = 0.1$ and $p_d = 0.2$, 318 which were used for the rest of our analysis. While these 319 numbers suggest noisy motif instantiation and a bias towards 320 insertions (i.e., repeats), precise estimates of these parameters 321 require cross-validation from independent methods. 322

The algorithm converged to a dictionary consisting of 66 motifs (with similar results across trials and subsamples). A subset of these motifs are shown in Table 1 (see also Table S1). In Figure 4a,b, a sample sequence of bouts segmented into a sequence of motifs is presented.

The dictionary has several surprising features. A significant fraction ($\sim 74\%$) of the dataset was made of motifs. Motifs as large as 14 bouts were found (which may further expand in a particular realization due to insertions). In particular, f repeated 14 times occurred more than 500 times. While

Table 1. Motifs over-represented in the exploratory dataset.

Motifs	$-\log_{10} p$	Observed	Expected
fffffffff	>300	1366	387
ffffffffffff	>300	510	50
fffffff	208.01	3234	1797
ffff	42.33	9544	8327
FFFFFF	28.23	311	153
ffffftf	27.64	497	290
fftffff	25.07	495	297
fftfff	22.72	1125	824
fftff	21.12	1745	1377
fftf	18.5	2724	2289
ftf	13.96	4337	3859
TfT	11.12	722	554
FFFF	7.94	1428	1224
TfTf	7.28	346	254
tttt	6.7	256	181
ТТТТ	5.06	160	110
bb	3.87	924	1044
bbbb	3.21	115	82
FbFb	2.19	99	74

A subset of motifs occur ('Observed' column) more often than predicted by a first-order Markov model (the 'Expected' column). The *p*-value is obtained using a likelihood ratio test. See also Table S1.

this may be explained by the large fraction of f, repeats were 333 also found for T, F and b. Overall, the most enriched and 334 common motifs correspond to repetitions of the same bout 335 type, and typically occur 2-14 times in a row. Motifs containing 336 mixtures of bouts included typically 2 different bout types. 337 The f, t, T bout types typically correspond to the slow regime 338 of locomotion, F, b and O belong to the fast regime (13, 20). 339 Notably, throughout the list of enriched motifs, all bouts 340 forming a particular motif belonged either to low speed or to 341 high speed, but not a mixture of the two. 342

To quantify how unusual these sequences were under a 343 Markov model, we compared the observed occurrence of the 344 identified motifs to those predicted from the best-fit Hidden 345 Markov Model (HMM). Our lexical model vielded a better 346 fit compared to an HMM (difference in held-out free energy 347 per bout of 0.12), and a significant portion of motifs deviated 348 from Markovianity (Tables 1,S1). The non-Markovianity likely 349 arises from two sources: First, while long repeats of the same 350 bout type occur often, the distribution of the number of repeats 351 has a heavy tail and decays much slower than a geometric 352 distribution. Second, sequences with mixtures of two bout 353 types such as TfTf and fftf are common; while the repeats 354 emphasize (say) $f \rightarrow f$ transitions, the motifs with mixtures 355 of bout types on the other hand emphasize $f \rightarrow t$ transitions, 356 creating a tension between the two in a purely Markovian 357 picture. 358

To verify that the long chain of repeats were not an arti-359 fact due to our elongated well geometry, we applied a similar 360 pipeline of bout categorization and motif identification on a 361 previously published dataset (13) (see SI Appendix, Figure 362 S2). The dataset consists of $\approx 120,000$ bouts (23 fish) obtained 363 from fish freely swimming in a square well (of side ~ 25 mm) 364 under varying light intensities. Notably, the resulting dic-365 tionary also displays long chains of repeats and significant 366 non-Markovianity albeit with a heavier emphasis on turns 367 compared to forward swims (Table S2). Mixtures of turns and 368



Fig. 4. Motifs identified by BASS make up a significant fraction of the dataset. (a) A sample sequence of 75 bouts from the exploratory data segmented (separated by vertical bars) into the most likely sequence of motifs from the learned dictionary. The corresponding speed and absolute change in heading are shown. Motifs longer than one character are underlined in gray. (b) A sample trajectory consisting of 80 bouts (head position at beginning of bout in red dots) are segmented into motifs (head and tail at each frame are shown), where successive bouts from the same motif have the same color.

slow forward swims (*ffTf*, *TfTf*), as well as fast forward and
burst swims (*FbFb*, *bbFb*) are also present in this dictionary,
while mixtures of *slow* bouts (forward swims or turns) and *fast* bouts (forward or burst swims) are conspicuously absent
in both dictionaries.

Fish chemotax away from acidic pH using conserved se-374 quences of fast bursts and large avoidance turns. Recent 375 studies have investigated how zebrafish respond to the acute ap-376 plication of aversive or appetitive chemicals in the surrounding 377 water (32-34). However, the behavioral responses of freely-378 swimming zebrafish larvae navigating in chemical gradients 379 of aversive or appetitive cues have not yet been investigated. 380 Acid was applied to the two ends of the arena forming a sharp 381 382 gradient (SI Appendix); diffusive transport at the time scale of the experiment (ten minutes) is at most 1cm and therefore is 383 confined to the ends. Zebrafish larvae successfully performed 384 chemotaxis and avoided the two extremities (Figure 5a), yet 385 displayed only minor differences in kinematic parameters typ-386 ically used in analyses (Figure 5b). The over-representation 387 of certain bout categories shown in Figure 5c suggests that 388 fish perform more burst b, and fast turns T and O bouts in 389 response to the aversive gradient. However, the sequence of 390 actions the fish takes in order to chemotax is unknown. 391

We implemented a comparative approach of finding con-392 served *sequences* of actions that are highly over-represented 393 in the aversive environment compared to exploration. We 394 395 applied the BASS algorithm to the dataset from fish in the aversive environment ($\sim 66,000$ bouts from ~ 100 fish). The 396 resulting dictionary of motifs contained a total of 81 motifs, 397 slightly larger than the one obtained from exploration (Table 398 S3). The two dictionaries contain broad similarities: both 399 contain long repeats of the same bout type and mixtures of t400 and f, yet contain important differences, particularly in the 401 over-representation of mixtures of b, F and O, b in the aversive 402 environment. 403

Table 2. Motifs consistently over-represented in the aversive chemotaxis assay.

Motifs	$-\log_{10} p$	$\langle N_{\boldsymbol{m}} \rangle_{\text{aver}}$	$\langle N_{\boldsymbol{m}} \rangle_{\text{explo}}$
fTff	90.84	101	5
00	66.63	111	11
bb	55.84	210	55
bOOb	46.06	63	5
Ob	35.74	135	36
bO	34.6	140	39
bFbb	24.96	49	6
Obbb	24.07	56	9

We examined over-represented sequences by comparing the 404 relative occurrences of motifs in the exploratory and aversive 405 environments. The two dictionaries were combined to obtain 406 a total of 103 unique motifs and the expected number of 407 occurrences of each motif, $\langle N_m \rangle$, for the two environments. 408 Intuitively, $\langle N_m \rangle$ is the number of times a motif occurs after 409 appropriately discounting its occurrences within a longer motif. 410 We use $-\log_{10} p$ as a measure of over-representation, where 411 p is obtained from a likelihood ratio test on $\langle N_m \rangle$ in the 412 two environments. To calibrate this score, we first split the 413 exploratory dataset into halves and computed the $-\log_{10} p$ 414 for each motif; the threshold 15 was chosen for a false positive 415 rate of 10%. To ensure that sequences from a few abnormal 416 fish did not dominate our comparison, we sub-sampled our 417 dataset from the aversive environment to 80% its size ten times, 418 performed the comparison for each sub-sample, and chose only 419 those motifs above threshold in *all* ten sub-samplings. 420

Table 2 shows the eight over-represented motifs conserved421across fish. All motifs except one (fTff) are mixtures of b422and O. The bouts from the selected motifs (except fTff) are423significantly over-represented close to the aversive gradient424compared to the rest of the bouts in the aversive environment425(Figure 6a), though no such selection was explicitly imposed426



Fig. 5. Fish avoid an aversive environment using a transient chemotactic response. (a) Histogram of larvae positions along the well with and without the aversive (acidic) gradient, located at the ends of the well. An illustration of the aversive gradient is shown above. (b) The distribution of speed, change in heading and the tail length of all bouts during exploration (black) and in aversive environment (red). The difference in global kinematic parameters between the two environments is small. (c) The fraction of each bout type in exploratory and aversive environments, where a total of ≈ 85000 and ≈ 66000 bouts were collected respectively. (d) BASS segments a sequence of bouts from the aversive environment into a sequence of motifs. Shown here is a sample trajectory (as in Figure 4b) where the fish escapes from the aversive environment.

a priori in our analysis. To verify that these motifs were 427 indeed the ones involved in aversive chemotaxis, we computed 428 the distance per bout the fish travels in the direction down 429 the aversive gradient for bouts within motifs flagged as over-430 represented and the rest of the bouts. Figure 6b shows a highly 431 significant bias for the over-represented bouts for swimming 432 down the gradient. Strikingly, when bouts from the flagged 433 sequences that begin at the two extreme quarters of the well 434 excluding fTff are considered, the bias greatly increases, even 435 beyond the typical length-wise distance travelled per bout, 436 indicating that these bouts are triggered by the sharp acidic 437 gradient and are almost exclusively aimed down the gradient. 438 In contrast, the motif fTff, which was also reliably observed 439 across fish, was not directed away from the aversive gradients 440 nor did it preferentially occur close to the gradient, suggesting 441 that fTff is induced by the global acidification of the swim 442 arena but not as a direct response to the acidic gradient (see 443 SI Movie S3 for a sample of the motif fTff). 444

Further examination of the bouts from the b and O cate-445 gories implicated in chemotaxis showed that bouts from both 446 categories were significantly longer and the fish swam faster 447 compared to the unflagged bouts (Figure 6c). Inspection of 448 the tail movements from this subset of O bouts showed that 449 these bouts typically consisted of a large-angle avoidance turn 450 followed by a long burst swim (see Figure 6d for examples). 451 The induced sequences of fast bouts composed of b and O near 452 the edges of gradient are distinct from the recently described 453 slow avoidance response to CO_2 (32). The previous report of 454 a lack of behavioral response to HCl pH=4.5 ((32)) suggests 455 that b and O may be elicited for more acidic pH. 456

457 Discussion

⁴⁵⁸ In this study, we present a lexical model of animal behavior,
⁴⁵⁹ where we view observed behavior as a composition of recurring motif templates drawn from a dictionary. We develop

the BASS algorithm for performing inference on this model, 461 which ultimately yields a dictionary of motifs that the ani-462 mal performs in its designated environment. Applying the 463 method on data from exploring zebrafish larvae revealed a 464 long time-scale organization of bout sequences that cannot 465 be explained in a Markovian model on single bouts. In an 466 aversive chemotaxis task, we identified conserved sequences of 467 bouts that the fish employ to escape an aversive environment. 468 We argue that our model yields complementary insight to 469 traditional dynamical models, and is better suited for compar-470 ative behavioral analyses, particularly for comparisons across 471 animals in similar environments and closely related genetic 472 variants. While the generative model of independent motifs 473 is perhaps the simplest one, it is rather notable that it fits 474 better to our behavioral data than a syllable-based Markov 475 model with a similar number of parameters, the latter often 476 used to depict quantitative ethograms. Indeed, in his seminal 477 paper, Lashley (16, 35) rejects the *reflex chain* theory, which 478 posits, in modern terminology, a first-order Markov model for 479 the dynamics of movements in favor of a model based on noisy 480 motifs. 481

Our model generalizes past work on motif discovery from 482 bioinformatics, machine learning and time series analysis by 483 incorporating two important generative processes crucial for 484 behavioral modeling that were not modeled previously: el-485 evating motif templates to latent variables and introducing 486 a data-generating process for elementary maneuvers. This 487 generalization is necessary to take into account the significant 488 variability in behavioral data, where clusters in postural space 489 are not always well-defined and erratic movements are not 490 uncommon. It should be noted that the generative process 491 for *motif templates* can also be viewed as a particular hidden 492 Markov model, where the $|\mathcal{D}| + 1$ hidden states at the topmost 493 level are the motifs in the dictionary and the 'background'. 494 In this picture, the full generative model has three levels of 495 hierarchy, which we have not explicitly represented for con-496



Fig. 6. Fish chemotax via sequences of fast bursts and large-angle avoidance turns. (a) Distributions of length-wise positions for the Control bouts (all bouts from aversive environment except the ones from sequences flagged as over-represented in Table 2), *fTff* only and Flagged bouts (from the sequences in Table 2) except *fTff* in red, orange and blue respectively. (b) The length-wise displacement travelled in a bout down the gradient for bouts tagged as Control, Flagged (as defined in (a)), *fTff* only, Control(ends) (all bouts from the two ends of well shown in (a)), Flagged(ends) (flagged but with *fTff* removed and in the ends of the well). For scale, the red, dashed line shows the mean length-wise distance per bout for unflagged bouts. Error bars are s.e.m. (c) The mean speed, change in heading and duration of the bouts (black, red error bars for s.d, s.e.m respectively) from *b* and *O* categories part of the Flagged(ends) sequences from (b). (d) Four random samples of each bout type from the flagged sequences in (a). The green and red are the head positions at the beginning and end of the bout respectively. (e) Four random samples from the flagged sequences, which include the three bouts before and after the flagged sequence. The green, blue and red dots show head position for the bouts before, during and after the flagged sequence respectively. Note that the depicted gradient is illustrative.

ciseness. Several extensions of the model are possible. Prior 497 knowledge can be easily applied. For instance, priors on the 498 distributions of motif lengths or the distribution of frequencies 499 can be introduced by weighting different partitions or a Dirich-500 let prior on the probabilities, respectively. In both cases, an 501 MLE equation (or a MAP estimate in the latter case) similar 502 to Eq. (2) can be derived. More complex, hierarchical models 503 over motifs may be learned by noticing that the Markovian 504 structure of the partitioning is compatible with structured 505 variational approximations (36). Further structure can be 506 introduced into the 'background', which we have assumed is 507 made of independently drawn characters, similar to those used 508 in bioinformatics (37). 509

Importantly, BASS receives no explicit information about 510 511 the stimulus experienced by the animal; the extracted motifs 512 therefore contain no information about the precise stimulusresponse map of the animal, but may reveal relevant qualitative 513 aspects of the animal's behavior. For example, BASS may 514 discover the surge-and-cast motion of a male moth searching 515 for a female (38) or the spiraling of a soaring bird (39) with 516 no reference to what stimulus triggers those responses. 517

In the same spirit, we show here that during chemotaxis, 518 freely-swimming zebrafish larvae in a gradient of aversive 519 cues exhibit conserved sequences of 2-4 fast bouts mixing 520 burst and large-angle avoidance turns to swim away from 521 the aversive environment. Notably, these sequences make 522 523 up only a small fraction of the dataset (~ 0.2%), yet are successfully captured in our analysis. Further, we found that 524 zebrafish larvae exploring either a rectangular arena (this 525 study) or confined square arenas (13) repeat specific bout 526 types, particularly forward and turn swims respectively, for 527 \sim 3-8 iterations. This observation is consistent with prior 528 observations of repetition of turns in freely swimming larvae 529 (31). The discovery of highly-specific sequences of either slow 530 forward or turn bouts suggests that the descending command 531

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signals sent to the spinal cord underlying forward bouts (40) 532 or turns (41) is maintained over tens of seconds, possibly 533 via sustained inputs to reticulospinal neurons or via sensory 534 feedback, and may have important implications for foraging. 535

BASS can be easily incorporated into existing behavioral 536 analyses pipelines alongside the expanding repertoire of meth-537 ods for unsupervised behavioral clustering (1-5). In its current 538 form, our implementation can handle datasets of size $\lesssim 300,000$ 539 bouts and dictionaries of size $\lesssim 500$, beyond which approxima-540 tions for scalable inference have to be developed. A discussion 541 on the statistical power lent by this method for comparative 542 analyses is necessary, but is beyond the scope of this work; such 543 an analysis is non-trivial due to individual-to-individual vari-544 ability and other environmental factors. We further highlight 545 the connection between behavioral modeling and genomics, 546 where a wealth of algorithms have been developed. Exploiting 547 this connection may lead to a fruitful exchange of techniques 548 between the two seemingly disparate fields. Finally, we re-549 mark that our method is an addition to a rapidly enlarging 550 computational toolkit for extracting mechanistic answers to 551 behavioral questions. 552

Materials and Methods

The code for BASS and the scripts used to reproduce the figures are publicly available (30). The repository includes the six-dimensional data used for bout categorization and BASS analysis. Due to its large size, the raw tracking data has not been uploaded and is available at request. 558

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